Print Form

What should you bring to your first meeting?

To make the most of our time together, please use this checklist to prepare for our meeting.

Don't worry if you're unable to collect all this information. Financial planning is an ongoing process to give you greater control over your finances.

We look forward to meeting with you.

General

- ☐ Please complete our Client Profile Form
- ☐ Last year's income tax return
- ☐ Social security statement

Latest Financial Statements

- ☐ Annuities
- ☐ Bank accounts
- ☐ Brokerage accounts
- ☐ Mutual funds
- ☐ Retirement accounts: 401(k), IRA, pension, profit sharing, 403(b), Keogh, SEP
- ☐ Stock options

Employment

- ☐ Pay stubs
- ☐ Annual employee benefit statements
- ☐ Listing of available options in your 401(k) retirement accounts
- ☐ Pension benefit statements and booklet

Insurance Policies

- ☐ Life: Group policy specifics and personal policy, if applicable
- ☐ Disability: Group policy specifics and personal policy, if applicable
- ☐ Long term care

Estate Planning Documents

- ☐ Declaration of trust or trust documents
- ☐ Powers of attorney